

Trading Guidelines For Direct Transactions at Nomura Asset Management Europe KVG mbH

Nomura Asset Management Europe KVG mbH

April 2020

Gräbstraße 109
60487 Frankfurt am Main
Germany
Phone: +49(0)69. 15 30 93 020
www.nomura-asset.eu

Contact for direct transactions:

Henning Reinicke
Telefon: +49(0)69. 15 30 93 820
Fax: +49(0)69. 15 30 93 300
E-Mail: fondsmail@nomura-asset.eu

Note: Subject to alterations

- Only written applications for acquisition and sale are accepted (including fax).
- Order deadline: Monday until Friday 08.00h CET (cut-off).
- Receipts after 08.00h CET: settlement is carried out on the subsequent valuation date.
- In case of forward pricing for Nomura Asset Management Europe KVG mbH funds, the orders that were received by the order deadline will be settled with the price of the subsequent valuation date.

Name	ISIN	Custodian Bank	Eligible For Trailer Fee	Management Fee	Forward Pricing
Nomura Asia Pacific Fonds	DE0008484072	The Bank of New York Mellon SA/NV	Yes	1,50% p.a.	t+1
Nomura Asian Bonds Fonds – Class R / EUR	DE0008484429	The Bank of New York Mellon SA/NV	Yes	1,10% p.a.	t+1
Nomura Asian Bonds Fonds – Class I / EUR	DE000A2DHSZ2	The Bank of New York Mellon SA/NV	No	0,55% p.a.	t+1
Nomura Asian Bonds Fonds – Class C / EUR	DE000A2DHS00	The Bank of New York Mellon SA/NV	No	0,40% p.a.	t+1
Nomura Real Return Fonds - Class R / EUR	DE0008484361	The Bank of New York Mellon SA/NV	Yes	0,72% p.a.	t+0
Nomura Real Return Fonds - Class I / EUR	DE000A1XDW21	The Bank of New York Mellon SA/NV	No	0,35% p.a.	t+0
Nomura Real Return Fonds - Class I / USD	DE000A1XDW39	The Bank of New York Mellon SA/NV	No	0,35% p.a.	t+0
Nomura Real Protect Fonds - Class I / EUR	DE0008484452	The Bank of New York Mellon SA/NV	No	0,35% p.a.	t+0
Nomura Real Protect Fonds - Class R / EUR	DE000A1XDW13	The Bank of New York Mellon SA/NV	Yes; (max. 50% of the management fee)	0,72% p.a.	t+0
Nomura Real Protect Fonds - Class I / USD	DE000A1XDW54	The Bank of New York Mellon SA/NV	No	0,35% p.a.	t+0

Value 3 days.

Contact for Orders to The Bank of New York Mellon S.A. NV (Frankfurt Branch):

TA Germany Team

Tel: 00352. 2452 4003

Fax: 00352. 2452 4202

E-Mail: bnym.ta.germany@bnymellon.com